

**INFANCY, CHILDHOOD &
RELATIONSHIP ENRICHMENT INITIAL ASSESSMENT** (May Be Used for Birth -5 yrs)
(See Reference Manual)

Initial Contact Date: _____ Date Form Completed: _____

I. IDENTIFYING INFORMATION

Child

Name: _____ DOB: _____ Age: _____
Other Names Used: _____ Gender: ☐ Male ☐ Female
Ethnicity: _____ Preferred Language: _____
Referred by (Name & Number): _____

Agency of Primary Responsibility

Refer to "MH 525: Contact Information" form for detailed contact information.

☐ DMH ☐ DCFS
☐ Probation ☐ School District
☐ Others _____

Biological Parents

Mother's Name: _____
Marital Status: _____ DOB: _____
Address: _____
Phone: _____ Work: _____
Preferred Language: _____
Interviewed: ☐ Yes ☐ No Interpreter Used: ☐ Yes ☐ No
Language Used for Interview: _____

Father's Name: _____
Marital Status: _____ DOB: _____
Address: _____
Phone: _____ Work: _____
Preferred Language: _____
Interviewed: ☐ Yes ☐ No Interpreter Used: ☐ Yes ☐ No
Language Used for Interview: _____

Primary Caregiver (Complete only if Biological Parent is not the Primary Caregiver)

☐ Adoptive ☐ Guardian ☐ Foster ☐ Kinship/Relative ☐ Group Home ☐ Other

Name: _____ Relationship to Child: _____ DOB: _____
Address: _____
Marital Status: _____ Phone: _____ Work: _____
Preferred Language: _____ Language Used for Interview: _____ Interpreter Used: ☐ Yes ☐ No

II. REASON FOR REFERRAL/CHIEF CONCERN

Why Referred? Type of help family is hoping to receive.

Current Primary Symptoms/Behaviors impairments in life functioning

Describe Onset, Duration & Frequency

Describe child & family strengths

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**INFANCY, CHILDHOOD &
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(See Reference Manual)

HISTORY OF PROBLEM

(Caregiver perception of cause, attempted solutions, possible triggers to onset, etc.)

ADDITIONAL PROBLEM AREAS

(Sleeping, eating, toileting, self-care, social/peer relations, tics, etc., frequency & onset)

III. PHYSICAL STATUS

SOURCE OF INFORMATION: ☐ PHYSICIAN CONSULTATION ☐ MEDICAL RECORDS ☐ PARENT/CAREGIVER REPORT
DATE OF LAST PHYSICAL _____

ACUTE ILLNESS/MEDICAL PROBLEMS: (List) _____

CURRENT MEDICATIONS: _____

☐ CHRONIC ILLNESS ☐ FAILURE TO THRIVE ☐ GROWTH DELAY ☐ NUTRITIONAL CONCERNS ☐ ASTHMA ☐ ALLERGIES

☐ EAR INFECTIONS: # OF TIMES TREATED WITH ANTIBIOTICS PER YEAR: _____ ☐ IMMUNE-SUPPRESSED

☐ DEAFNESS (Partial / Total) ☐ BLINDNESS (Partial / Total) ☐ LEAD LEVEL TESTED: (Date/Details) _____

IMMUNIZATIONS up to date: ☐ Yes ☐ No ☐ INJURIES/TRAUMA: (Type) _____

NEUROLOGICAL: ☐ SEIZURE DISORDER ☐ AUTISM ☐ CEREBRAL PALSY ☐ OTHER: _____

BRAIN TRAUMA: (Date/Details) _____

SURGERIES: (Date/Details) _____

OTHER CHRONIC HEALTH PROBLEMS: _____

VISIBLE ABNORMALITIES/MALFORMATIONS (Head, Hands, Spine, Extremities, Face, Genitalia, Skin): _____

DETAILS REGARDING ABOVE:

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IV. DEVELOPMENTAL HISTORY (ADD PAGES IF NECESSARY)

PRENATAL/PERINATAL INFORMATION

PRENATAL CARE: ☐ NONE ☐ INTERMITTENT ☐ REGULAR ☐ OTHER: _____

PRENATAL COMPLICATIONS/CONCERNS: Illnesses, accidents, stresses during pregnancy. Maternal use of alcohol, drugs, cigarettes (specify?) _____

POSTPARTUM PSYCHIATRIC PROBLEMS: ☐ NO ☐ YES (Onset & Duration) _____

BIRTH HISTORY

TERM (mos.): _____ BIRTH WEIGHT (LB/oz): _____ BIRTH LENGTH (inches): _____ MOM's AGE: _____

LABOR DURATION: _____ CHILD DAYS in HOSPITAL: _____ PLACE OF DELIVERY: _____ DAD's AGE: _____

TYPE OF BIRTH: ☐ NATURAL ☐ INDUCED ☐ C-SECTION ☐ FORCEPS ☐ VACUUM TYPE ANESTHESIA USED: _____

BIRTH COMPLICATIONS: _____

MOTHER/CAREGIVER PERCEPTIONS OF PREGNANCY & BIRTH (Planned or surprise? Your/father's reaction? Support?)

Breast-fed/Bottle-fed
combination?
Duration and age
weaned?

Age of taking
cereal,
solids. Types?

Feeding difficulties?
Frequency & onset?
Spitting up, sucking
problems, refusal to
eat, over-eating,
fussy eater?

Frequency of
eating?
Signals of
hunger/satiation?
Self-regulation?

FEEDING

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<p>Good sleeper? How did s/he sleep in past week? Last night? Is this typical?</p> <p>Length and frequency of naps, nighttime sleep?</p> <p>Difficulty falling asleep, waking? Frequency & onset</p>	<p>SLEEPING PATTERNS</p>	
<p>Describe your child's personality: over-active/highly reactive or under-reactive/slow to respond, easy-going, anxious?</p> <p>Is your baby colicky, fussy, cries a lot? How often & how long does your baby cry?</p> <p>Is it easy to read your baby's signals and moods?</p> <p>How responsive is your baby to you? Easy or difficult to soothe? What soothing strategies do you see?</p>	<p>TEMPERAMENT</p>	
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**INFANCY, CHILDHOOD &
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(See Reference Manual)

IV. DEVELOPMENTAL HISTORY (Continued)		
Developmental Milestones (Describe if not within normal limits) See Reference Manual. Address domains: sensory, motor, socio-emotional, language, cognitive and adaptive / self help		Environmental Stressors See Reference Manual. Moves; schools; separation; losses of family/friends, changes in family composition, SES, lifestyle; exposure to family conflict/violence; major illnesses; abuse; placements, etc.
Infancy: 0-6 mos. Smiles back Rolls over Turns to sound Babbles Plays with objects		Infancy: 0-6 mos.
6-12 mos. Stranger anxiety Sits upright/walks Responds to name Object constancy Says 1-2 words		6-12 mos.
12-18 mos. Reciprocal play Eats with spoon Tolerates noises Jumps with 2 feet Says 4-6 words		12-18 mos.
18-24 mos. Words for feeling Balance on 1 foot Brushes teeth/hair 2-3 word sentence Pretend play		18-24 mos.
24-36 mos. Toilet trained? Throws ball Uses "I" 2-step request Uses "big/little"		24-36 mos.
36-60 mos. Uses scissors Climbs a ladder Uses sentences Draws a line Symbolic play		36-60 mos.
DEVELOPMENTAL ASSESSMENT TOOLS & RESULTS <i>(list questionnaires or formal testing)</i>		
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**INFANCY, CHILDHOOD &
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(See Reference Manual)

V. CURRENT FAMILY SYSTEMS REVIEW	
Family Members Living in Child's Current Home	
<i>(Identify relation & age)</i>	
Who else lives in your home? Apt/house? Enough space? Always lived here? Family relations Get along with each other? Extended family? Friends? Social/ other supports? DCFS support? Family History: Medical Psychiatric Legal/Criminal Alcohol/Drug Family cultural identity? Immigration history? Religion? Spiritual practice? Family strengths?	
DCFS/Abuse/ Placement History & Plans	
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>This confidential information is provided to you in accord with State and Federal laws and regulations including but not limited to applicable Welfare and Institutions Code, Civil Code and HIPAA Privacy Standards. Duplication of this information for further disclosure is prohibited without prior written authorization of the client/authorized representative to who it pertains unless otherwise permitted by law.</p> </div> <div style="width: 50%;"> <div style="display: flex; justify-content: space-between;"> <div> <p>Name:</p> <p>Agency:</p> </div> <div> <p>MIS #:</p> <p>Prov. #:</p> </div> </div> <p style="text-align: center; margin-top: 10px;">Los Angeles County – Department of Mental Health</p> </div> </div>	

**INFANCY, CHILDHOOD &
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(See Reference Manual)

V. RELEVANT PAST FAMILY SYSTEMS REVIEW (Complete only if client has had more than one Relevant Family System)	
Family Members Not Currently Living in Child's Home	
<i>(Identify relation & age)</i>	
Who else lived in your home? Apt/house? Enough space? Always lived there? Family relations Get along with each other? Extended family? Friends? Social/ other supports? DCFS support? Family History: Medical Psychiatric Legal/Criminal Alcohol/Drug Family cultural identity? Immigration history? Religion? Spiritual practice? Family strengths?	
Family Visitation & Involvement Plan Visitation schedule Engagement in child's assessment	
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VI. CHILD CARE / EARLY INTERVENTION / PRESCHOOL SERVICES

CURRENT DAY CARE, CHILD CARE OR PRESCHOOL

☐ DOES NOT ATTEND CHILD CARE ☐ ATTENDS LICENSED DAY CARE ☐ ATTENDS UNLICENSED CHILD CARE

☐ CURRENTLY NOT ENROLLED IN PRESCHOOL ☐ REGULAR PROGRAM ☐ SPECIAL EDUCATION PROGRAM

CHILD CARE/PRESCHOOL NAME: _____ ADDITIONAL CHILD CARE: _____

CONTACT PERSON: _____ CONTACT PERSON: _____

ADDRESS: _____ ADDRESS: _____

PHONE: _____ PHONE: _____

DAYS/TIMES PER DAY CHILD ATTENDS: _____ DAYS/TIMES PER DAY CHILD ATTENDS: _____

PARENT PARTICIPATION: _____ PARENT PARTICIPATION: _____

NOTABLE INFO: _____ NOTABLE INFO: _____

DATE OF LAST IFSP/IEP: _____

IFSP/IEP ELIGIBILITY: _____

EARLY INTERVENTION or REGIONAL CENTER SERVICES

☐ CURRENTLY NOT IN EARLY INTERVENTION PROGRAM

☐ HISTORY OF EARLY INTERVENTION PROGRAM

DATE ENROLLED: _____ DATE ENROLLED: _____

NAME OF PROGRAM: _____ NAME OF PROGRAM: _____

CONTACT PERSON: _____ CONTACT PERSON: _____

ADDRESS: _____ ADDRESS: _____

PHONE: _____ PHONE: _____

DAYS/TIMES PER DAY CHILD ATTENDS: _____ DAYS/TIMES PER DAY CHILD ATTENDS: _____

SERVICES RECEIVING: _____ SERVICES RECEIVING: _____

PARENT PARTICIPATION: _____ PARENT PARTICIPATION: _____

NOTABLE INFO: _____ NOTABLE INFO: _____

HISTORY OF CHILD CARE / EARLY INTERVENTION / PRESCHOOL or SPECIAL SERVICES

(CONSIDER: licensed/unlicensed facility, #children in class, age range of children, nature of relationship with teachers/caregivers, peer relationships, parents' perception of support from teachers/caregivers, history of threatened or actual suspensions or expulsions from day care/pre-K, etc.)

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VII. MENTAL STATUS / BEHAVIORAL OBSERVATIONS: CHILD								
<p>Include relevant features from below. Be sure to address relevant features from each bolded category below.</p> <p>Appearance Dress, grooming, unusual physical characteristics</p> <p>Behavior Activity level, mannerisms, eye contact, manner of relating to parent/therapist, motor behavior, aggression, impulsivity</p> <p>Socio-Emotional/Mood/Affect Shy, fearful, labile, sad, blunt, irritable, aggressive, passive, depressed, anxious, risk to self or others State regulation</p> <p>Cognitive Attention span and play are age appropriate, problem-solving ability</p> <p>Communication/Language Verbal/nonverbal, receptive/expressive, age appropriate</p> <p>Sensorimotor Visual, auditory, tactile, vestibular, proprioceptive, taste, textures, smells (avoidant, neutral, seeking)</p> <p>Gross Motor Coordination, motor planning, muscle tone (low, floppy, tense), postural stability</p> <p>Fine Motor Coordination, tremors, etc.</p> <p>Adaptive Functioning Age appropriate self-care, feeding, toileting</p> <p>Strengths Adaptive capacity, strengths & assets, cooperation</p>	<p>Provide a description of this child based on your observations.</p>							
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VIII. OBSERVED CAREGIVER – CHILD INTERACTION

Be sure to address relevant features from each **bolded** category below.

Provide a description based on your observations of child & caregiver interaction.

Behavioral Observations

Eye contact
Behavioral quality of the interaction
Affective tone
Psychological involvement (DC 0-3R, Axis II)

Capacities for Emotional and Social Functioning

Attention and regulation
Forming relationships/mutual engagement
Intentional two-way communication
Complex gestures and problem solving
Use of symbols to express thoughts/feelings
Connecting symbols logically/abstract thinking
(DC 0-3R, Axis V)

Attunement, Balance & Congruence

Caregiver sensitive to infant cues and responds accordingly.

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IX. BEHAVIORAL OBSERVATIONS & INTERVIEW with CAREGIVER							
<p>Be sure to address relevant features from each bolded category below.</p> <p>Behavioral Observations Appearance, manner of relating, expressive style, mood/affect</p> <p>Caregiver's Perceptions and Expectations Of the child/baby Of his/herself and parenting</p> <p>Insight/Strengths/Challenges Adaptive capacity, strengths & assets, cooperation, insight, judgment, motivation for treatment</p>	<p>Provide a description based on your observations of child & caregiver interaction.</p>						
X. SUMMARY & FORMULATION							
<p>(Brief description of child problems/strengths and primary family and environmental issues that support diagnosis. Be sure to include symptoms/impairments in life functioning i.e. school, home, community, living arrangement, etc.)</p>							
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XI. DIAGNOSTIC CLASSIFICATION																																			
DC: 0 – 3R DIAGNOSIS: Axis I (Primary Dx): Axis II : (Relationship Classification) <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <tr> <th style="width: 25%;">Relationship quality</th> <th style="width: 25%;">No evidence</th> <th style="width: 25%;">Some evidence*</th> <th style="width: 25%;">Substantial evidence</th> </tr> <tr><td>Overinvolved</td><td></td><td></td><td></td></tr> <tr><td>Underinvolved</td><td></td><td></td><td></td></tr> <tr><td>Anxious/Tense</td><td></td><td></td><td></td></tr> <tr><td>Angry/Hostile</td><td></td><td></td><td></td></tr> <tr><td>Verbally Abusive</td><td></td><td></td><td></td></tr> <tr><td>Physically Abusive</td><td></td><td></td><td></td></tr> <tr><td>Sexually Abusive</td><td></td><td></td><td></td></tr> </table> <p style="text-align: center; font-size: small;">*Needs further investigation</p> PIR-GAS w/Caregiver 1: _____ (Caregiver: _____) PIR-GAS w/Caregiver 2: _____ (Caregiver: _____) PIR-GAS w/Caregiver 3: _____ (Caregiver: _____)		Relationship quality	No evidence	Some evidence*	Substantial evidence	Overinvolved				Underinvolved				Anxious/Tense				Angry/Hostile				Verbally Abusive				Physically Abusive				Sexually Abusive				ICD 10 DIAGNOSIS CODE: (To be entered in the IS/IBHIS) Primary: _____ Secondary: _____ Other: _____ Other: _____ Other: _____ Other: _____ Other: _____	
Relationship quality	No evidence	Some evidence*	Substantial evidence																																
Overinvolved																																			
Underinvolved																																			
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Axis III (Medical & Dev. D/O): Axis IV (Psychosocial Stressors): Source: Effects: _____ Mild _____ Moderate _____ Severe Duration: _____ Age of onset: _____ Acute: _____ Enduring: _____																																			
Axis V (Functional Emotional Developmental Level): 1 = age-appropriate under all conditions and full range of affect 2 = age-appropriate but vulnerable to stress and/or constricted range of affect 3 = has capacity but not at age appropriate level 4 = inconsistent/needs support and structure to function at this capacity 5 = barely evidences capacity even with support 6 = has not reached this level N/A = not applicable _____ Attention and regulation (0-3 mos) _____ Forming relationships/mutual engagement (3-6 mos) _____ Intentional two-way communication (4-10 mos) _____ Complex gestures and problem solving (10-18 mos) _____ Use of symbols to express thoughts and feelings (18-30 mos) _____ Connecting symbols logically and abstract thinking (30-48 mos)																																			
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XII. DISPOSITION/RECOMMENDATION/PLAN

(Consider collaboration between systems and providers and it's impact on the child and family)

XIII. REFERRALS GIVEN

SERVICE: _____

REFERRED TO: _____

DATE: _____ CONTACT NAME: _____ PHONE NUMBER: _____

SERVICE: _____

REFERRED TO: _____

DATE: _____ CONTACT NAME: _____ PHONE NUMBER: _____

SERVICE: _____

REFERRED TO: _____

DATE: _____ CONTACT NAME: _____ PHONE NUMBER: _____

XIV. SIGNATURES

ASSESSOR'S SIGNATURE TITLE DISCIPLINE DATE

CO-SIGNATURE TITLE DISCIPLINE DATE

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